	FORM PTO-1390 U.S. DEPARTMENT (REV. 10-2003) TRADEMARK O	MT OF COMMERCE PATENT AND OFFICE	ATTORNEY'S DOCKET NUMBER POLYT 9351 WO-US				
	TRANSMITTAL LETTER	Express Mail No. ED 331 691 919 US					
	DESIGNATED/ELECTE	DESIGNATED/ELECTED OFFICE (DO/EO/US)					
	CONCERNING A FILIN	U.S APPLICN NO. Tikn with Sec 37 FR .5 Not yet assigned					
	INTERNATIONAL APPLICATION	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED				
	NO. PCT/GB03/01133	18 March 2003	19 April 2002 (GB0209022.3)				
	TITLE OF INVENTION	CAPPLICATION					
	GLYCODENDRIMERS AND THEIR THERPEUTIC APPLICATION APPLICANT(S) FOR DO/EO/US						
	SHAUNAK, Sunil, GIANASI, Elisabetta, and DUNCAN, Ruth						
	Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other						
	information:						
	1. [x] This is a FIRST submission of	f items concerning a filing under 35 U.S.6	C. 371.				
	2. [] This is a SECOND or SUBSE	QUENT submission of items concerning	g a filing under 35 U.S.C. 371.				
	3. [x] This is an express request to be items (5), (6), (9) and (21) indicate	egin national examination procedures (33)	U.S.C. 371(f)). The submission must include				
	4. [x] The US has been elected (Artic						
	5. [x] A copy of the International Ap	oplication as filed (35 U.S.C. 371(c)(2))					
	a. [] is attached hereto (requi	ired only if not communicated by the Inte	rnational Bureau).				
	<ul> <li>b. [x] has been communicated by the International Bureau.</li> <li>c. [] is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul>						
	6. [] An English language translation	on of the International Application as filed	d ( 35 U.S.C 371(c)(2)).				
a. [] is attached hereto.							
	b. [] has been previously sub 7. [x] Amendments to the claims of the	Article 19 (35 U.S.C 371(c)(3))					
7. [x] Amendments to the claims of the International Application under PTC Article 19 (35 U.S.C 371(c)(3)) a. [] are attached hereto (required only if not communicated by the International Bureau).							
	b. 1 have been communicated by the International Bureau.						
	c. [] have not been made; however, the time limit for making such amendments has NOT expired.						
	<ul> <li>d. [x] have not been made and will not be made.</li> <li>8. [] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C 371 (c)(3)).</li> </ul>						
	9. [ ] An oath or declaration of the in	nventor(s) (35 U.S.C 371(c)(4)).					
	10. [ ] An English language translation of the annexes of the International Preliminary Examination Report under PCT Article						
	36 (35 U.S.C 371(c)(5)).						
	Items 11 to 20 below concern document(s) or information included:						
	11. [ ] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.						
	12. [] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included						
	<ul><li>13. [x] A preliminary amendment.</li><li>14. [] An application Data Sheet un</li></ul>	nder 37 CFR 1 76					
	15. [] A substitute specification.		·				
	16. A power of attorney and/or change of address letter.						
	17. [] A computer-readable form of	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.					
	18. [ ] A second copy of the published international application under 35 U.S.C. 154(d)(4).  19. [ ] A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).						
	20. [x] Other items or information:						
	Copy of front page of published international application PCT/GB03/01133						
	Copy of PCT/IB/304 showing receipt by IB of the priority document (GB 0209022.3) Copy of PCT/IB/308 showing communication of the international application to the US Office						
	Copy of Declarations, unexecuted						
	Copy of Powers of attorney, unexecuted						

U.S. APPLICATION	Nt (if known, see	ATTORNEY'S DOCKET NUMBER							
	wing fees are submit	POLYT 9200 WO-US CALCULATIONS PTO USE ONLY							
' -	•	0.10002.110.10							
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) – (5)):									
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO\$1110.00									
	International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$950.00								
	minary examination the fee (37 CFR 1.445								
	International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33 (1)-(4)\$750.00								
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)									
]	ENTER APPROPR	\$950							
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).									
CLAIMS	NUMBER	NUMBER EXTRA	RATE						
Total claims	93-20 =	73	x \$18.00	\$1,314	T				
Independent	20- 3 =	17	x \$88.00	\$1,496					
claims			+ \$290.00						
MULTIPLE DEP	ENDENT CLAIM(S	\$0	<u> </u>						
TOTAL OF ABOVE CALCULATIONS = \$3,760									
[x] Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are \$1,880									
reduced by ½.		\$1,880							
Processing fee of	\$130.00 for furnishing	\$0							
from the earliest claimed priority date (37 CFR 1.492(f)).									
		\$1,880							
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40.00 per property +									
accompanied by a	\$1,880								
		Amount to be	\$						
		refunded:							
			<del></del>	Charged:	<u> </u>				
a. [] A check in the amount of \$ to cover the above fees is enclosed. b. [x] Please charge my Deposit Account No 50-3194 the amount of \$ to cover the above fees.									
b. [x] Please of	charge my Deposit A	ccount No. <u>50-3194</u>	the amount of \$1.	10 cover	the above rees.				
A duplicate copy of this sheet is enclosed.  c. [x] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any									
overpayment to Deposit Account No. 50-3194. A duplicate copy of this sheet is enclosed.									
d. [1 fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card									
information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive ( 37 CFR 1.137 (a) or									
(b)) must be filed and granted to restore the application to pending status.									
1				11 11/L					
SEND ALL COR	RESPONDENCE T								
D 11 0 A	•	SIGNATURE							
	Bell & Associates,				Matthew Kaser				
	416 Funston Avenue, Suite 100,				NAME 44,817				
	o, California 94	REGISTRATION	NUMBER						
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I hereby certify that this document and referenced attachments are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" addressed to: Mail Stop PCT, P.O. Box 1450, Alexandria, VA 22313-1450 on 15 October 2004

Printed: Matthew R. Kaser Signed:

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. NATIONAL PHASE APPLICATION of: SHAUNAK, Sunil, GIANISI, Elisabetta, and DUNCAN, Ruth

Title: Glycodendrimers and Their Therapeutic Application

Serial No.:

Not yet assigned

Filing Date:

Not yet assigned

Examiner:

Not yet assigned

Group Art Unit:

Not yet assigned

International application number:

PCT/GB03/01133

International Filing Date:

18 March 2003

Priority application number:

GB0209022.3

Priority date:

19 April 2002

Mail Stop PCT

15th October, 2004

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Enclosed:

Return receipt postcard

Transmittal cover letter (1 page)

Transmittal sheet PTO-1390 (2 pages, in duplicate)

Copy of front page of International Application (1 page)

Copy of Form PCT/IB/304 (1 page)

Copy of Form PCT/IB/308 (1 page)

Un-executed Declarations (4 pages)

Un-executed Powers of Attorney x 3 (3 pages)

Preliminary Amendment (17 Pages)

Respectfully submitted,

walles =

Matthew R. Kaser, D.Phil.

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